

Enrolment Form no. _____

KEY PARTNER / AGENT INFORMATION (Investors applying under Direct Option must mention "Direct" in ARN column.) (Refer instruction 30)

| ARN | Name | Sub Agent's ARN and Bank Branch Code | Internal Code for Sub-Agent/Employee | Employee Unique Identification Number (EUIIN) |
|-----|------|--------------------------------------|--------------------------------------|---|
| | | | | |

TRANSACTION CHARGES FOR APPLICATIONS THROUGH DISTRIBUTORS/AGENTS ONLY (Refer instruction 31)

In case the purchase / subscription amount is Rs. 10,000 or more and your Distributor has opted to receive Transaction Charges for the scheme type, Rs.100 will be deducted and paid to your Distributor. Units will be issued against the balance amount invested.

Upfront commission shall be paid directly by the investor to the ARN Holder (AMFI registered Distributor) based on the investors' assessment of various factors including the service rendered by the distributor.

NEW REGISTRATION
 Cancellation
 Change in Mobile Number

(To Cancel the facility or Change the Mobile Number, please fill Section 1 only and sign the Form)

1. UNIT HOLDER INFORMATION (Refer instruction 5)

Folio No. / Mobile No. + 9 1

(The details in our records under the folio number mentioned alongside will apply for this application.)

NAME OF FIRST / SOLE UNIT HOLDER (Refer instruction 5)

Mr. / Ms. / M/s

2. INVESTMENT DETAILS [Please tick (✓)] (Refer instruction 8)

| | | |
|---------------------------|--|--|
| Scheme/Plan/Option | <input type="checkbox"/> HDFC Cash Management Fund - Treasury Advantage Plan - Retail Option | <input type="checkbox"/> HDFC Cash Management Fund - Treasury Advantage Plan - Retail Option - Direct Plan |
| Sub-Option | <input type="checkbox"/> Growth | <input type="checkbox"/> Dividend |
| | <input type="checkbox"/> Daily (Reinvestment Only) | <input type="checkbox"/> Payout |
| | <input type="checkbox"/> Weekly | <input type="radio"/> Reinvestment <input type="radio"/> Payout |
| | <input type="checkbox"/> Monthly | <input type="radio"/> Reinvestment <input type="radio"/> Payout |

3. BANK DETAILS (Refer instruction 6, 7 and 9)

Bank Name

Branch Bank City

Account No. MICR Code

IFSC Code (The 9 digit code appears on your cheque next to the cheque number)

RTGS/ NEFT Code **Mandatory Enclosure** Blank Original Cancelled cheque

Account Type (Please ✓) Savings Current Others (please specify) _____

Account holder Name as in

Bank Account

Note : The First/Sole Unit holder(s) should be the one of the Bank account holder.

Maximum amount of Debit per transaction (Rs.) (Amount should not exceed Rs.5,00,000/-)

 Start Date End Date

Authorisation to the Bank

I/We have registered for Direct Debit Facility and I/We authorize the Bank to debit my aforesaid bank account towards my/our investment in HDFC Mutual Fund upon request by the Fund /AMC/ its representatives. I/We authorise the representative of HDFC Mutual Fund carrying this mandate form to get it verified & executed. I/We hereby declare that the particulars given above are correct and complete. This instruction to the Bank to honour all payment request made by HDFC Mutual Fund is valid at all times and may be revoked only through a written letter withdrawing the mandate signed by me /us to the Account and the intimation about the same shall be given to the Fund/the AMC immediately.

SIGNATURE(S)

(As per Bank Records)

First Bank Account holder Second Bank Account holder Third Bank Account Holder

4. DECLARATION AND SIGNATURE(S)

I/We hereby apply to register for HDFC InstaInvest facility provided by HDFC Mutual Fund ("the Fund") and confirm that I/We have read, understood and agree to abide by the terms and conditions of HDFC InstaInvest Registration Form, Key Information Memorandum, Scheme Information Document of the above Scheme and Statement of Additional Information. The information given in / with this application form is correct, complete and truly stated. I/We agree to furnish such other information as may be required by the Fund and undertake to inform promptly to the AMC / Registrars and Transfer Agent (RTA) in writing about any change in the information furnished from time to time. I/We am/are authorized to make this investment and the amount invested in the Scheme is through legitimate sources only and does not involve and is not designed for the purpose of any contravention or evasion of any Act, Rules, Regulations, Notifications or Directions issued by any regulatory authority in India. I/we have not received nor been induced by any rebate or gifts, directly or indirectly, in making investment through this facility. **The ARN holder (AMFI registered Distributor) has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him/them for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us.**

If the transaction is delayed or not effected at all for reasons of incomplete, incorrect or /and inappropriate information or due to any force majeure event, I/We shall not hold the Fund, the AMC, the Trustee or their representatives or the Bank liable for the same. I/We shall not dispute or challenge any debit, raised under this mandate, on any ground whatsoever. I/We shall keep the Fund/ the AMC/ the Trustee indemnified from time to time, against all claims, actions, suits, for any loss, damage, costs, charges and expenses incurred by the Fund/ the AMC/ the Trustee by reason of their acting upon the instructions issued by me/us.

SIGNATURE(S)

(Refer instruction 5)

First Unitholder Second Unitholder Third Unitholder

ACKNOWLEDGEMENT SLIP (To be filled in by the Unit holder)

| | | | |
|---|--|--------------------|-----------------------|
| Date: | HDFC MUTUAL FUND | Enrolment Form No. | |
| | Regd. office : Ramon House, 3rd Floor, H.T. Parekh Marg, 169, Backbay Reclamation, Churchgate, Mumbai 400020 | | ISC Stamp & Signature |
| Received from Mr./Ms./M/s. <input type="text"/> | | | |
| an application for HDFC InstaInvest Facility | | | |
| Folio no. <input type="text"/> | | | |

TERMS AND CONDITIONS OF HDFC INSTAINVEST AND INSTRUCTIONS TO FILL UP THE APPLICATION FORM

1. HDFC Instainvest is a facility wherein an investor can transact (i.e. purchase and redemption only) in Units of various Scheme(s) of HDFC Mutual Fund ("the Fund") by sending instructions through Short Messaging Service ("SMS") from a registered Indian mobile number to a dedicated number specified by the Fund/ HDFC Asset Management Company Limited ("the AMC"). Currently, this facility is offered under HDFC Cash Management Fund - Treasury Advantage Plan - Retail Option and Direct Plan thereunder. This facility may be extended to other schemes of HDFC Mutual Fund and the list of eligible scheme(s) will be updated from time to time (eligible scheme(s) hereinafter referred to as "the Scheme(s)"). Please contact the nearest Investor Service Centre (ISC) or the website (www.hdfcfund.com) of the Fund for the updated list of eligible scheme(s).
2. Currently, this facility can be registered only in one folio, one Scheme, one Plan and one Option per mobile number. The mobile number provided for this facility shall overwrite the existing mobile number(s) registered in the folio, if any.
3. This facility can be availed only by existing KYC compliant resident individual Unitholder(s) including sole proprietors and HUFs, not holding units in demat form and having the mode of operation of the folio as 'single' or 'anyone or survivor' (hereinafter referred to as "eligible Unitholder"). This facility is not available to Minors, Unitholder(s) with folio(s) having the mode of operation as 'joint', or to Unitholder(s) of any other category other than those mentioned above for e.g. Non Resident Indians (NRIs), Person of Indian Origin (PIOs) / Overseas Citizen of India (OCI), Corporates and Partnership Firms, etc.
4. Eligible Unitholder is required to submit a duly filled and signed HDFC Instainvest Enrolment Form ("the Form") to avail this facility. Form must be filled in English in BLOCK letters only and should be submitted at any of the Official Points of Acceptance of the Fund.
5. Eligible Unitholder should specify full name of the first Unitholder, folio no and mobile number in the 'Unitholders Information' section in the Form. Eligible Unitholder's name and signature(s) should match with the details in the existing folio, failing which, the Form is liable to be rejected.
6. The Form includes a "Debit Mandate" section containing instructions from the eligible Unitholder's to his bank to debit the bank account for purchases made under the facility only. Eligible Unitholder must specify the Start Date and End Date for availing the facility and the Debit Mandate. He must also mention the maximum amount per transaction which is being authorized to the Bank for auto-debit (such amount should not exceed the per transaction limit set by the AMC/The Fund from time to time). If this information is not mentioned, the application is liable to be rejected. The bank account provided for this facility will not be added to the existing banks details already registered in the folio for the purpose of receiving redemption and dividend payouts, if any. All redemption payouts under this facility or dividend payout, if any, for units purchased under this facility shall be credited to the default bank account registered under the folio.
7. Eligible Unitholder(s) need to submit an original 'cancelled' cheque of the bank account under this facility along with the Form. If the name is not pre-printed on the cheque, then the first named eligible Unitholder should submit any one of the following documents i.e. a copy of the bank passbook / a statement of bank account bearing account details and account holders name or a letter from the bank on its letterhead certifying the eligible Unitholder's bank account details (Account Number, Type, Branch, MICR Code). If these documents are not furnished, the Form is liable to be rejected.
8. Eligible Unitholder should indicate the desired investment option(s) in the application form, failing which the default option(s) as indicated in the Scheme Information Document/Key Information Memorandum shall apply.
9. Currently this facility is available with the following banks:
Allahabad Bank, Axis Bank, Bank of Baroda, Bank of India, CITI Bank, Corporation Bank, Federal Bank, ICICI Bank, IDBI Bank, IndusInd Bank, Kotak Mahindra Bank, Punjab National Bank, South Indian Bank, State Bank of India, State Bank of Patiala, UCO Bank, Union Bank of India and United Bank of India
This list is subject to change from time to time. Please check with any of our ISCs / visit our website www.hdfcfund.com for the updated list. If the Form is submitted with details of any other bank, the same will be rejected.
10. The facility will be activated approximately within 30 days of receipt of a valid Form (and not from the Start Date mentioned in the Form). If the "One Time Debit Mandate" is rejected by the eligible Unitholder's bank for any reason whatsoever, the Form will get automatically rejected. The eligible Unitholder will be intimated accordingly regarding successful registration/rejection of the Form. Upon receipt of intimation of successful registration, the eligible Unitholder may start availing the facility.
11. All terms and conditions of the Scheme Information Document / Key Information Memorandum of the Scheme(s) and the Statement of Additional Information will be applicable for this facility including minimum amount for purchase / additional purchase and redemption except that the request should be in multiples of Re.1/- only. Further, Purchase request cannot exceed Rs. 5 lakh per SMS or such other limit as may be specified by the AMC from time to time.
12. To transact through this facility, the eligible Unitholder is required to send the purchase/ redemption request(s) from the registered mobile number to the dedicated number specified by the Fund only in below mentioned format:
To Purchase, type "INV <Amount>" and send SMS to 5676725
To Redeem, type "RED <Amount>" and send SMS to 5676725
For e.g.: To invest Rs.10,000/-, the eligible Unitholder has to type INV 10000 and send the SMS to 5676725.
SMS not received in the above format will be rejected. Transaction request(s) should only be in multiples of
13. The SMS charge, including special SMS charges, if any, levied by the cellular service provider will have to be borne by the Eligible Unitholder.
14. On successful receipt of the transaction request by the Fund, a confirmation SMS will be sent to the eligible Unitholder's registered mobile number along with a unique transaction reference number. In the event, the eligible Unitholder receives multiple transaction reference numbers against a single transaction request, the eligible Unitholder should immediately intimate the Fund/Registrar and Transfer Agent (RTA) (currently, Computer Age Management Services Private Limited). In the event the eligible Unitholder does not receive any confirmation from the Fund/RTA within an hour of his sending the request or receives a different confirmation vis-à-vis his request, the eligible Unitholder may contact us at 1800 233 6767 / 1800 3010 6767 during business hours to enquire about the status of the request.
15. The time and date of receipt of the transaction request as recorded in the server of AMC / RTA shall be the "time stamping" of the transaction as per SEBI (Mutual Funds) Regulations, 1996 under this facility. This time and date will be treated as the time and date of the submission of the transaction request by the eligible Unitholder. The eligible Unitholder confirms that he shall not dispute such time-stamping.
16. If the eligible Unitholder notices any discrepancy in the transaction processed and/or the amount debited vis-à-vis the eligible Unitholder's request, the same should be intimated to the AMC/RTA immediately but not later than 15 days of the receipt of transaction request by the Fund.
17. **Applicable Net Asset Value (NAV) (for all schemes other than liquid schemes):**

| For Purchase Amount | Application received upto 3.00 pm on a Business Day | Application received after 3.00 pm on a Business Day or received on a Non-Business Day |
|----------------------|---|--|
| Less than Rs. 2 Lakh | Closing NAV of the Business day on which application is received | Closing NAV of the next Business Day |
| Rs. 2 Lakh or more | Closing NAV of the Business Day on which the funds are available for utilization, provided that the application is received before the cut-off time i.e. 3.00 p.m. and the funds for the entire amount of the application are credited to the bank account of the scheme before the cut-off time. | |

All multiple purchase applications, irrespective of the mode of request for purchase (at the first holder's PAN level) in a particular scheme received for the same Business Day, will be aggregated to ascertain whether the total amount equals to Rs. 2 lakh or more.
18. Under this facility, for purchase transactions received within the applicable cut-off time, the request for realizing funds will be initiated only after cut-off time. Credit of funds to the scheme is expected to be received normally after three Business Days from the date of transaction. Accordingly, the applicable NAV for applications of Rs.2 lakh and above would be based on realization of funds.
19. The eligible Unitholder may approach his bank for receiving SMS alerts from the bank upon successful debit from his bank account, if such facility is provided by his bank.
20. Eligible Unitholder is advised to register his email id under the folio (through a separate request) if not already registered, to receive transaction alerts from the Fund.
21. The AMC / Fund at its absolute discretion and in the interest of eligible Unitholder may request oral, fax and/or written confirmation of the transaction request and/or request for any additional information.
22. The AMC/Fund may reject any transaction request without assigning any reason thereto and the AMC / Fund will not be responsible/ liable for any consequences resulting therefrom.
23. The eligible Unitholder should ensure to keep sufficient clear funds in his bank accounts when submitting purchase transaction requests. The AMC/RTA shall not be responsible/liable for any unsuccessful purchase transaction request due to non-availability of sufficient funds in the bank account. In case the funds are not realized, the transaction shall be rejected and the Units allotted, if any, shall be reversed. Further, the allotment of Units will be subject to realization of funds in the Scheme's bank account and not based on the date of debit from the bank account of the eligible Unitholder.
24. If the value of redemption request exceeds the value of investments in the folio, ALL FREE UNITS in the Scheme will be redeemed.
25. The eligible Unitholder should take sufficient care to prevent unauthorized usage of the registered mobile phone number and hereby confirms that any transaction undertaken using the registered mobile number shall be deemed to be that of the eligible Unitholder. The AMC / Trustee / Fund / RTA shall not be liable for transactions processed in case of unauthorized usage.
26. In case of change in mobile number, the eligible Unitholder may submit this Form to update the mobile number in the folio by ticking the "Change in Mobile Number" Option and filling up Section 1.
27. In case the eligible Unitholder wishes to update/change the bank account details and / or the Scheme Option under the facility, the eligible Unitholder must cancel the existing registration and re-register with the new details to avail the facility.
28. This facility cannot be used for non-financial requests such as request for change in bank account details, nomination, mode of holding, address etc.
29. Eligible Unitholder may cancel the registration under the facility at any time by sending a written request to the Official Points of Acceptance of the Fund. On receipt of a valid request, the facility will be discontinued within 15 days. A confirmation of discontinuation of the facility will be sent to the eligible Unitholder.
30. **Transaction through Distributors:** SEBI has made it compulsory for every employee/ relationship manager/ sales person of the distributor of mutual fund products to quote the EUIN obtained by him/her from AMFI in the Application Form. EUIN would assist in addressing any instance of mis-selling even if the employee/relationship manager/sales person later leaves the employment of the distributor particularly in advisory transactions. Individual ARN holders including senior citizens distributing mutual fund products are also required to obtain and quote EUIN in the Application Form. Hence, if your investments are routed through a distributor please ensure that the EUIN is correctly filled up in the Application Form.
Direct Investments: Investors applying Directly must mention "Direct" in ARN column. In case Distributor code is mentioned in the application form, but "Direct Option" is indicated against the Scheme name, the Distributor code will be ignored and the application will be processed under Direct Option. In case of valid application received without indicating "Direct Option" against the Scheme / Plan name and without any Distributor Code mentioned on the form, the application will be processed under "Direct Option".
31. **Transaction Charges: Where eligible Unitholder's distributor, if any, has opted-in to receive transaction charges for the eligible scheme type, the same would be deducted in accordance with the applicable guidelines specified by Securities and Exchange Board of India from time to time and paid to such distributor. Currently, for each purchase transaction amounting to Rs.10,000/- or more, Rs.100/- would be deducted towards Transaction Charges from the purchase amount and paid to such distributor. Units will be allotted for the balance amount.**
32. These Terms and Conditions and/or the use of the facility shall be governed by the laws of the Republic of India.
33. The data/information provided by the eligible Unitholder pursuant to dealing with the AMC/Fund could be shared by the AMC with its authorised agents, representatives, trustees etc.
34. The AMC may disclose in strict confidence to other institutions the personal information of the eligible Unitholder as may be reasonably necessary for reasons inclusive of but not limited to the following:
(a) In compliance with legal directive
(b) For fraud prevention purposes
(c) Statutory reporting, etc
35. The availing of the facility by the eligible Unitholder is not transferable/ assignable under any circumstances and shall be used only by the eligible Unitholder.
36. The eligible Unitholder hereby confirms that the AMC/ Trustee/ Fund/ RTA shall under no circumstances be liable for any direct, indirect, incidental, consequential damages/ losses etc suffered and/or incurred by the eligible Unitholder due to:-
 - Disruption and/or non-availability of this facility due to natural calamity, flood, earthquake, legal restraints, technical fault/error or virus, failure of service provider, failure of telecommunication network etc and any other reason beyond the control of the AMC/RTA/Fund;
 - Any transaction carried out in good faith by the AMC under this facility based on the instructions of the eligible Unitholder;
 - Any unauthorized usage/transactions and/or erroneous transactions;
 - Any error, defect, failure or interruption of the facility;
 - Non – receipt/ delayed receipt of SMS by the AMC / RTA
 - Any negligence/mistake or misconduct by the eligible Unitholder including breach/non-compliance of the terms and conditions of the SID, SAI, this facility or any instructions of the AMC;
37. The eligible Unitholder agrees to indemnify the AMC/Trustee / Fund/ RTA for any and all liabilities, losses, damages and expenses which the AMC/ Trustee / Fund/ RTA may sustain or incur either directly or indirectly as a result of.
 - a. Providing the facility that enables the eligible Unitholder to send transaction requests via SMS to the AMC/RTA and/or by reasons of the AMC/RTA in good faith taking or refusing to take any action on the SMS received from the eligible Unitholder;
 - b. any unauthorized usage / unauthorized transactions under the facility;
 - c. fraud or dishonesty relating to any instruction by the eligible Unitholder;
 - d. non adherence to or breach of the terms and conditions set out herein;
 - e. incorrect / erroneous details provided by the eligible Unitholder;
 - f. non-availability of funds in the bank account of the eligible Unitholder at the time of debit;
 - g. technical failure or default of cellular service provider of the eligible Unitholder and/or the AMC/ RTA/its service providers;
 - h. any error, defect, failure or interruption in the provision of the facility;
 - i. any negligence, omission, mistake or misconduct by the eligible Unitholder;
38. The AMC, at its sole discretion, reserves the right to suspend/ terminate/ vary/ modify the terms and conditions of the facility, at any time, without prior notice/intimation to the eligible Unitholder, as may be deemed necessary. Use / Continued usage of the facility by the eligible Unitholder shall be deemed acceptance of the terms and conditions by such eligible Unitholder.